

P19246.A15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : H. KETTLER et al

U.S. Patent No.: 6,378,884

Appln. No : 09/584,497

Issued: April 30, 2002

Filed : June 1, 2000

For : VEHICLE STEERING HEAD

PETITION UNDER 37 C.F.R. 1.182 FOR EXPEDITED CONSIDERATION OF
PETITION UNDER 37 C.F.R. 1.324Commissioner of Patents and Trademarks
Washington, DC 20231

FAX RECEIVED

JUN 18 2002

Sir:

PETITIONS OFFICE

Petitioner filed on June 2, 2002 in Technology Center 3600, a Petition for Correction of Inventorship under 37 C.F.R. 1.324. In view of pending litigation in the above-identified matter, expedited consideration of the Petition under 37 C.F.R. 1.324 is respectfully requested. Towards that end, Petitioner is hereby authorizing the Commissioner to charge the \$130.00 petition fee under 37 C.F.R. 1.182 to Deposit Account No. 19-0089.

In order to assist the deciding official with handling of this matter, a copy of the Petition under 37 C.F.R. 1.324 as filed on June 2, 2002, all accompanying documents and the filing receipt are attached hereto.

Grant of the Petition under 37 C.F.R. 1.182 is respectfully requested.

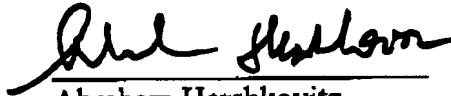
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Should there be any questions regarding this Petition, the deciding official is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,
H. KETTLER et al



Abraham HersHKovitz
Reg. No. 45,294

June 18, 2002
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
703-716-1191 (telephone)
703-716-1180 (fax)

Attachment: Petition filed June 2, 2002, incl. enclosures
Filing Receipt

LAW OFFICES
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 JOHN V. MAZZOLA

TECHNICAL ADVISOR:
 TU AHN PHAN, PH.D.
 KATRIN VENTER, PH.D.

*EUROPEAN PATENT ATTORNEY

*ADMITTED TO A BAR OTHER THAN VA

FACSIMILE COVER LETTER

From: GREENBLUM & BERNSTEIN, P.L.C.

Date: June 18, 2002

To: United States Patent and Trademark Office

Attn: Petitions Examiner Ms. Frances M. HICKS

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Appl. No. : 09/584,497

JUN 18 2002

Telefax Number: (703) 308 6916

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TOTAL NUMBER OF PAGES, INCLUDING COVER LETTER: 34

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Intellectual Property Causes
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

File In: Hand-Carry
To: Group 3600, CPK-5, 7th floor

The Patent Office Date stamp hereon is an acknowledgement that, on the date indicated, the Patent Office received the following:

- | | |
|---|--|
| <p>(X) Petition for Correction of Inventorship Under 37 C.F.R. 1.324
() _____
() Executed Assignment and Cover Letter
() by facsimile
() Executed S.E.S. () S.E.S. Assertion
() Req. for Ext. of Time
(X) Fee Filing (X)
 Recording ()
 Issue ()
 Extention ()
() Fee Transmittal
() Maintenance fee payment
() PTOL-85B Issue Fee
() Letter to Draftsman
() Design Patent Application Transmittal
() Utility Patent Application Transmittal
() Provisional Application Cover Sheet
() Continued Prosecution Application
 (CPA Request Transmittal)
() Request for Continued Examination (RCE)
 including submission
() Cover Letter
(X) Check No. 41676 for \$ 130.00
() Rule 53b and 53f Letter for Unexecuted
 Application</p> | <p>(X) Statement of Joamchim Kettler In Support of
 Petition to Change Inventorship
(X) Statement of Reinhard Rocholl In Support of
 Petition to Change Inventorship
() Declaration () Supplemental
() New () Unexecuted
() Executed by facsimile
() Transmittal Letter
() Patent Application
 () Provisional () Reexam
() Reissue () Design
() Continuation () CIP
 Pages (w/abstract)
 Claims Independent
 Sheets of drawings
 Figures
() I.D.S. form PTO 1449 &
() References () as attached
 () as listed on reverse
() One self-addressed postcard
() Certification under 1.97 (e)
(X) Statement of Heinz Kettler In Support of
 Petition to Change Inventorship, w/ Declaration,
 Assignments, and Assignee's Consent to Correction
 Of Inventorship</p> |
|---|--|

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In the matter of : VEHICLE STEERING HEAD

Applicant : H. KETTLER et al.

Application No. : 09/584,497

Patent No. :

Filed : June 1, 2000

Issued :

Docket : P19246 (AH)

GREENBLUM & BERNSTEIN, P.L.C.
Intellectual Property Causes
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

6/06/2002

File In: Group 36W(LPK-5 7th / 6th)

The Patent Office Date stamp hereon is an acknowledgement that, on the date indicated, the Patent Office received the following:

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| <input type="checkbox"/> Req. for Ext. of Time | <input type="checkbox"/> Executed by facsimile |
| <input checked="" type="checkbox"/> Fee Filing <input type="checkbox"/> | <input type="checkbox"/> Transmittal Letter |
| Recording <input checked="" type="checkbox"/> | <input type="checkbox"/> Patent Application |
| Issue <input type="checkbox"/> | <input type="checkbox"/> Provisional <input type="checkbox"/> Reexam |
| Extension <input type="checkbox"/> | <input type="checkbox"/> Reissue <input type="checkbox"/> Design |
| <input type="checkbox"/> Fee Transmittal | <input type="checkbox"/> Continuation <input type="checkbox"/> CIP |
| <input type="checkbox"/> Maintenance Fee Payment | _____ pages (w/abstract) |
| <input type="checkbox"/> PTOL-85B Issue Fee | _____ claims _____ independent |
| <input type="checkbox"/> Letter to Draftsman (in trip.) | _____ sheets of drawings |
| <input type="checkbox"/> Design Patent Application Transmittal | _____ figures |
| <input type="checkbox"/> Utility Patent Application Transmittal | <input type="checkbox"/> I.D.S. form PTO-1449 & |
| <input type="checkbox"/> Provisional Application Cover Sheet | References <input type="checkbox"/> as attached |
| <input type="checkbox"/> Continued Prosecution Application (CPA) Request Transmittal | <input type="checkbox"/> as listed on reverse |
| <input type="checkbox"/> Request for Continued Examination (RCE) including submission | <input type="checkbox"/> One self-addressed postcard |
| <input type="checkbox"/> Cover Letter | <input type="checkbox"/> Certification under 1.97(e) |
| <input checked="" type="checkbox"/> Check No. 41677 for \$ 40.00 | <input type="checkbox"/> Certificate of Mailing (C-O-M) |
| <input type="checkbox"/> Rule 53b and 53f Letter for Unexecuted Application | <input type="checkbox"/> Returned Envelope |

In the matter of : VEHICLE STEERING HEAD

Applicant : Heinz KETTLER

Application No. : 09/584,497

Patent No. : 6,378,884

Filed : 6/01/00

Issued : 4/30/02

Docket : P19246

AH

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JUN 18 2002

PETITIONS OFFICE

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OUR REFERENCE NUMBER	YOUR INVOICE NUMBER	INVOICE DATE	INVOICE AMOUNT	AMOUNT PAID	DISCOUNT	NET AMOUNT
Recording each patent assignment, agreement or other paper, per property (581)						
File # : P19246						

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RESTON, VA 20191
(703) 716-1191

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June 6, 2002

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JUN 18 2002

PETITIONS OFFICE

P19246.A14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : H. KETTLER et al

U.S. Patent No.: 6,378,884

Appln. No : 09/584,497

Issued: April 30, 2002

Filed : June 1, 2000

For : VEHICLE STEERING HEAD

PETITION FOR CORRECTION OF
INVENTORSHIP UNDER 37 C.F.R. 1.324Commissioner of Patents and Trademarks
Washington, DC 20231

FAX RECEIVED

JUN 18 2002

Sir:

PETITIONS OFFICE

Patentee respectfully requests that the above-referenced patent be amended by adding the following inventors who were not originally named as inventors in good faith, through error, and without any deceptive intention:

Joachim KETTLER having a post office address of Hauptstrasse 28, D-59469 Ense-Parsit, Germany and **Reinhard ROCHOLL** having a post office address of Am Grueggelgraben 8, Postfach 1863, D-59448, Werl, Germany.

This petition is accompanied by: a Statement of Facts, verified by the newly named inventors, establishing how the error without deceptive intention occurred; a Statement verified by the originally named inventor agreeing to the change of inventorship; the written

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consent of the Assignee; the fee set forth in 37 C.F.R. 1.20(b); an Assignment document executed by the newly added inventors; and a Supplemental Declaration by the actual inventors under 37 C.F.R. 1.63.

Petitioner notes that while the regulations no longer require a Statement of Facts showing how the error without deceptive intention occurred, in order to make abundantly clear that the error did in fact occur without deceptive intention, a Statement of Facts is being submitted along with this Petition. Additionally, while a Declaration under 37 C.F.R. 1.63 is not required for a Petition under 37 C.F.R. 1.324 filed in an issued patent, the Declaration is nevertheless being filed and the Commissioner is asked to enter the Declaration in the file of the above-mentioned patent.

A petition under 37 C.F.R. 1.324 requires:

(1) a statement from each person being added as an inventor that the inventorship error occurred without any deceptive intention on his part;

(2) a statement from the currently named inventor agreeing to the change of inventorship;

(3) a statement from the assignee of the parties submitting the statement under paragraphs (1) and (2) above agreeing to the change of inventorship in the patent, which statement must comply with the requirements of 37 C.F.R. 3.73(b); and

(4) the fee set forth in 37 C.F.R. 1.20(b).

P19246.A14

This petition complies with the requirements of 37 C.F.R. 1.324 as follows:

(1) Newly added inventors Joachim KETTLER and Reinhard ROCHOLL have executed a statement to the effect that the inventorship error occurred without any deceptive intention on their part by virtue of the fact that they did not appreciate the fact that under U.S. patent laws, all inventors of subject matter claimed in a patent application must be named in an application for patent in the U.S. Patent and Trademark Office. Details of the contributions of inventors Joachim KETTLER and Reinhard ROCHOLL to the claimed invention are outlined in their respective statements, which have side-by-side columns in German and English. Petitioner notes that the statements prepared for execution by newly added inventors Joachim KETTLER and Reinhard ROCHOLL consisted of pages numbered 1-3 and named P19246.A09DEC.doc and P19246.A10DEC.doc, respectively, which statements were sent to the inventors by e-mail. Based on information and belief, inventors Joachim KETTLER and Reinhard ROCHOLL printed out the statements, signed page number 3 of the statements and returned to the law firm of the undersigned only page 3 of the statements. In order to complete the filing of these statements, Petitioner has attached a paper copy of pages 1 and 2 of the statements of inventors Joachim KETTLER and Reinhard ROCHOLL to the facsimile transmitted copy of page 3 of the respective inventors before filing this petition in the U.S. Patent and Trademark Office. While Petitioner believes that the so-combined pages 1-3 of the statements of inventors Joachim KETTLER and

P19246.A14

Reinhard ROCHOLL constitute a proper submission of the statements, the deciding official is invited to contact the undersigned for any requested re-submission of these statements.

(2) In a statement from the currently named inventor, Heinz KETTLER, he indicates his agreement to the change of inventorship, i.e., the addition of Joachim KETTLER and Reinhard ROCHOLL as co-inventors of the invention claimed in the above-identified patent. The statement, which has side-by-side columns in German and English, additionally includes details of the contribution of inventor Heinz KETTLER to the invention claimed in the above-identified patent.

(3) The current inventor, Heinz KETTLER, has assigned his rights to the invention to the company Heinz Kettler GMBH & Co. by virtue of an Assignment recorded on June 1, 2000 at Reel 010858 and Frame 0316. The newly added inventors, Joachim KETTLER and Reinhard ROCHOLL, have assigned their rights to the invention to the same company Heinz Kettler GMBH & Co. by virtue of an Assignment executed on May 27, 2002, which Assignment is being concurrently filed herewith for recordation in the U.S. Patent and Trademark Office. In a Consent from the assignee of the parties submitting the statement under paragraphs (1) and (2) above, i.e., the company Heinz Kettler GMBH & Co., Manfred SAUER, Vice President of the company, indicates the consent of the Assignee to the change of inventorship in the patent. The Consent is in full compliance with the requirements of 37 C.F.R. 3.73(b).


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(4) The \$130.00 fee set forth in 37 C.F.R. 1.20(b) is enclosed herewith. Please charge any additional fees necessary for consideration of the papers filed herein and refund excess payments to Deposit Account No. 19-0089.

In view of the above showing, grant of the Petition under 37 C.F.R. 1.324 is respectfully requested. Upon grant of the petition, Patentee will file a Certificate of Correction, to ensure that the corrected inventorship is reflected on the face of the patent.

Should there be any questions regarding this Petition, the deciding official is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,
H. KETTLER et al



Abraham HersHKovitz
Reg. No. 45,294

June 6, 2002
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
703-716-1191 (telephone)
703-716-1180 (fax)

P19246.A11DEC.doc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : H. KETTLER

U.S. Patent No. 6,378,884

Appln. No : 09/584,497

Issued: April 30, 2002

Filed : June 1, 2000

For : VEHICLE STEERING HEAD

Commissioner of Patents and Trademarks
Washington, D.C. 20231

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JUN 05 2002

GREENBLUM & BERNSTEIN PLC

STÄUERNAHME VON HEINZ
KETTLER ZUR UNTERSTÜTZUNG
EINES ANTRAGS AUF ÄNDERUNG DER
ERFINDERNENNUNG NACH 37 C.F.R.
1.324(a)

STATEMENT OF HEINZ KETTLER IN
SUPPORT OF PETITION TO CHANGE
INVENTORSHIP UNDER 37 C.F.R.
1.324(a)

Ich, Heinz Kettler, als Unterzeichnete und
deutscher Staatsbürger, stelle folgendes zur
Unterstützung eines Antrags nach 37 C.F.R.
1.324(a) fest:

I, the undersigned, Heinz KETTLER, a
citizen of Germany, state the following in support
of a Petition under 37 C.F.R. 1.324(a):

1. Ich bin einer der Miterfinder des
Gegenstandes, der in der oben
erwähnten Anmeldung Nr. 09/584,497
beansprucht wurde, die U.S. Patent Nr.
6,378,884 wurde.

1. That I am one of the co-inventors
of the subject matter claimed in
the above-identified Application
No. 09/584,497, which matured
into U.S. Patent No. 6,378,884

2. Ich stimme dieser Änderung der
Erfindernennung zu, d.h. der
Hinzufügung von Joachim KETTLER
und Reinhard ROCHOLL als
Miterfinder der oben erwähnten
Erfindung.

2. That I agree to the change of
inventorship, i.e., the addition of
Joachim KETTLER and Reinhard
ROCHOLL as co-inventors in the
above-identified patent.

3. Ich war letztlich für die Entwicklung der
in der oben erwähnten Anmeldung
erfassten Erfindung verantwortlich.
Entsprechend habe ich zu der
Entwicklung der Erfindung wie folgt
beitragen:

3. That I had ultimate responsibility
for the development of the
invention covered by the above-
identified application and as such,
I contributed in the following
manner to the development of the
invention



P: 9246.A11DEC.doc

- 2 -

- a. Ich nahm an Sitzungen mit den Mitfindern Joachim KETTLER und Reinhard ROCHOLL teil, bei den wir den Fortschritt bei der Entwicklung der Erfindung sowie notwendige Abwandlungen besprachen. Bei diesen Sitzungen habe ich bestimmte Probleme bei der von ihnen studierten Vorrichtung festgestellt.

Nach der Vervollständigung eines Prototyps der Vorrichtung, traf ich mit Joachim KETTLER und Reinhard ROCHOLL zusammen, um die Vorteile der Vorrichtung zu besprechen. Es wurde entschieden, Patentschutz für die Vorrichtung entsprechend der Erfindung weiterzuverfolgen.

- a. I attended meetings with co-inventors Joachim KETTLER and Reinhard ROCHOLL, at which time we discussed progress on the development of the invention and necessary modifications. At these meetings, I identified certain problems with the apparatus they were studying.

- b. Upon completion of a prototype of the apparatus, I met with Joachim KETTLER and Reinhard ROCHOLL to discuss the advantages of the apparatus and a decision was made to pursue patent protection for the apparatus according to the invention.

27.5.02
Datum Heinz
Heinz KETTLER

27.5.02
Date Heinz
Heinz KETTLER

Declaration and Power of Attorney For Utility or Design Patent Application
Erklärung für Patentanmeldungen zur Gebrauchssignung und Entwicklung
mit Vollmacht

German Language Declaration

Ich, Michaela bezeugte: Es findet sich hiermit an Eides
Statt:

As a below named inventor, I hereby declare that:

Ich bestätige, meine Postanschrift und meine Staatsangehörigkeit, im nachstehenden nach meinem Namen abgesetzten Angaben entsprechen, daß ich nach bestem Wissen der Ursprünglichkeit, erste und alleinige Erfinder (falls nachstehend mit ein Name angegeben ist) oder ein ursprünglicher, erster und maßgebender (falls nachstehend mehrere Namen aufgeführt sind) des eingetragenen bin, für den dieser Antrag gestellt wird und für den ich Patent für die Erfindung mit folgendem Titel beantragt wird:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

FAHRZEUG-LENKROD

VEHICLE STEERING HEAD

Erten: Beschreibung hier beigefügt ist, es sei denn (in diesem Falle
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The specification of which is attached hereto unless the following box is checked:

1. 1974-2000
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was filed on June 1, 1960 as
United States Application Number 0,356,497
now patent No. U.S. 3,378,884 B1

2. der IATA-Internationalen Anmeldungsnummer _____
 geändert (falls zutreffend).

PCF International Application Number _____
and was amended on _____ (i) application

Ich bestätige hiermit, daß ich den Inhalt der oben angegebenen
Forderungen, einschließlich der Ansprüche, die eventuell
aus den oben erwähnten Zusatzantrag abgeändert wurde,
durchgelesen und verstanden habe.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendments referred to above.

Die oben genannten Punkte sind Pflicht zur Offenbarung jeglicher Informationen
an die zur Prüfung der Patentfähigkeit in Einklang mit Titel 37,
United States Patent Regulations, § 1.56 von Belang sind.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, (Code of Federal) Regulations, §1.56.

2.4. Vorgegebene Nummer ausländische Prioritätsvorteile gemäß Title 35, U.S.C. § 119 (a)-(d), bzw. 365(b) aller unten aufgeführten Erfindungserfindungen für Patente oder Erfindungsurkunden, oder 37 CFR alle PCT-Internationalen Anmeldungen, welche wenigstens ein Land eines der Vereinigten Staaten von Amerika benennen, und habe nachstehend durch ankreuzen sämtliche Anmeldungen für Patente bzw. Erfindungsurkunden oder PCT-Internationalen Anmeldungen angegeben, deren Anmeldetag der der Anmeldung, für welche Priorität beansprucht wird, vorgeht.

I hereby claim foreign priority under Title 35, United States Code 3719 (a-d) or 3765(b) of any foreign application(s) for patent or inventor's certificate, or 3765(a) of any PCT international application which designated at least one country other than the United States, listed below. I have also identified below, by checking the ANOA box, any foreign application for patent or inventor's certificate, or of any PCT international application, having a filing date before that of the application on which priority is claimed:

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Priority Claimed
Priority Patent Application

Politikwissenschaftliche Anmeldungen

Country	GERMANY
Land	

5/11/1999
(Day/Month/Year Filed)
(Tag/Monat/Jahr der Anmeldung)

Yes	No
18	82

Country	(Country)
Land	(Land)

(Day/Month/Year Filed)
(Tag/Monat/Jahr der Anmeldung)

9	4
Yes	No
10	Sign

Angaben der jeweiligen Anmelde-nummern sind im
Prüfungsanhang aufgeführt.

9 Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

German Language Utility or Design Patent Application Declaration

I hereby claim the benefit under Title 35, US-Code, § 119(e) of any United States provisional application(s) listed below.

(Application Number)
(Anmeldungsnummer)

(Publication Number)
(Publikationsnummer)

(Publication Number)
(Publikationsnummer)

Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, US-Code, § 120 of any United States application(s), or 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application No.)
(Anmeldungsnummer)

(Day/Month/Year Filed)
(Tag/Monat/Jahr eingereicht)

(Status)
(patented, pending, abandoned)
(patentiert, schwebend, aufgegeben)

(Application No.)
(Anmeldungsnummer)

(Day/Month/Year Filed)
(Tag/Monat/Jahr eingereicht)

(Status)
(patented, pending, abandoned)
(patentiert, schwebend, aufgegeben)

Additional U.S. or international application numbers are listed on a supplemental priority sheet attached hereto.

I declare that all statements made herein of my own knowledge and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from either his foreign patent agent or corporate representative, if any, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

(Day/Month/Year Filed)
(Tag/Monat/Jahr der Anmeldung)

(Day/Month/Year Filed)
(Tag/Monat/Jahr der Anmeldung)

(Day/Month/Year Filed)
(Tag/Monat/Jahr der Anmeldung)

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The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from either his foreign patent agent or corporate representative, if any, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions

... von den Anweisungen angenommen werden müssen, dann wird
... USA-Anwalt oder Stellvertreter entsprechend von
... benachrichtigt.

may be taken, the U.S. attorney or agent named herein will
be so notified by the undersigned.

German Language Utility or Design Patent Application Declaration

VERTRETUNGSVOLLMACHT: Als benannter Erfinder
hiermit den sich mit der Kundennummer
7055, Patentanwalt (Patenzurwille) und/oder
Patenzurwille, nur der Verfolgung der vorliegenden Patent-
anmeldung als Teil der Abwicklung aller damit verbundenen
Geschäfte, insbesondere Patent- und Warenzeichenamt und weise an,
dass die Korrespondenz mit dieser Kundennummer adressiert
wird.

POWER OF ATTORNEY: As a named inventor, I hereby
appoint the attorney(s) and/or agent(s) associated with the
Customer Number provided herein to prosecute this application
and transact all business in the Patent and Trademark Office
connected therewith, and direct that all correspondence be
addressed to that Customer Number.

KUNDENNUMMER 7055

CUSTOMER NUMBER 7055

Die untenstehenden Patentanwälte sind zur Zeit:

Reinhold T. Greenblum Reg. No. 28,394
Robert J. Bernstein Reg. No. 29,027
Ernst J. Jurek Reg. No. 33,094
James L. Rowland Reg. No. 32,674

The appointed attorneys presently include:

Stephen M. Roylance Reg. No. 31,296
Leslie J. Papetna Reg. No. 33,329
William Piepiz Reg. No. 33,630
William E. Lydson Reg. No. 41,508

Address: Greenblum & Bernstein, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191

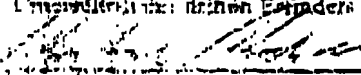
Die nachstehenden Hinweise richten an:

Direct Telephonic Calls to:

Greenblum & Bernstein, P.L.C.
(703) 716-1191

Full name of sole or first inventor Heinz KETTLER	
Inventor's signature <i>Heinz Kettler</i>	Date 27.6.02
Residence Ense-Parait, GERMANY	
Citizenship GERMANY	
Post Office Address Hauptstrasse 28, D-59469 Ense-Parait, GERMANY	
Full name of second joint inventor, if any Joachim KETTLER	
Second Inventor's Signature <i>Joachim Kettler</i>	Date 27.05.2002
Residence Werl, GERMANY	
Citizenship GERMANY	
Post Office Address Am Grueggolgraben 8, Postfach 1863, D-59448 Werl, GERMANY	
(Supply similar information and signature for third and subsequent joint inventors).	

German Language Utility or Design Patent Application Declaration

Vor- und Nachname des dritten Miterfinders: Reinhard R. ROCHOLT		Full name of third inventor, if any Reinhard ROCHOLT	
Unterschrift des dritten Erfinders 	Datum 29.05.02	Third Inventor's signature	Date
Wohnort Werk, DEUTSCHLAND		Residence Werk, GERMANY	
Staatsangehörigkeit DEUTSCHLAND		Citizenship GERMANY	
Postanschrift Am Grueggelgraben 8, Postfach 1863, D-59448 Werk, DEUTSCHLAND		Post Office Address Am Grueggelgraben 8, Postfach 1863, D-59448 Werk, GERMANY	
Vor- und Nachname des vierten Miterfinders (falls zutreffend)		Full name of fourth inventor, if any	
Unterschrift des vierten Erfinders	Datum	Fourth Inventor's Signature	Date
Wohnort		Residence	
Staatsangehörigkeit		Citizenship	
Postanschrift		Post Office Address	
Vor- und Nachname des fünften Miterfinders (falls zutreffend)		Full name of fifth inventor, if any	
Unterschrift des fünften Erfinders	Datum	Fifth Inventor's Signature	Date
Wohnort		Residence	
Staatsangehörigkeit		Citizenship	
Postanschrift		Post Office Address	
Vor- und Nachname des sechsten Miterfinders (falls zutreffend)		Full name of sixth inventor, if any	
Unterschrift des sechsten Erfinders	Datum	Sixth Inventor's signature	Date
Wohnort		Residence	
Staatsangehörigkeit		Citizenship	
Postanschrift		Post Office Address	
Gibt es noch mehr Informationen und Unterschriften im Falle		(Supply similar information and signature for seventh and	

P10246 ASZ

Page 1 of 3

ASSIGNMENT

WHEREAS, Joachim KETTLER, a citizen of Germany, whose post office address is Am Grueggelgraben 8, Postfach 1863, D-59448 Werl, Germany, and Reinhard ROCHOLL, a citizen of Germany, whose post office address is Am Grueggelgraben 8, Postfach 1863, D-59448 Werl, Germany, hereinafter referred to as the ASSIGNOR, has invented a certain improvement relating to

VEHICLE STEERING HEAD,

which issued on April 30, 2002, as U.S. Patent No. 6,378,884.

AND WHEREAS, Heinz Kettler GmbH & Co., a corporation organized and existing under the laws of Germany, whose post-office address is Hauptstrasse 28, D-59469 Ense-Parsit, Germany, hereinafter known as the ASSIGNEE, is desirous of acquiring the entire right, title and interest for the United States, in and to said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues, and prolongations thereof.

NOW, THIS WITNESSETH that for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt whereof is hereby acknowledged, said ASSIGNOR hereby assigns, sells and transfers to said ASSIGNEE, its assigns and legal representatives, the entire and exclusive right, title and interest in and to said invention and application, for the United States, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted therefor, said ASSIGNEE and its assigns and legal representatives to have, hold, exercise and enjoy the said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues and prolongations thereof, with all the rights, powers, privileges, and advantages in any way arising from or pertaining thereto, for and during the term or terms of any and all such Letters Patent when granted, including any and all renewals, reissues, and prolongations thereof, for the use and benefit of said ASSIGNEE and its assigns and legal representatives in as ample and beneficial a manner as all intents and purposes as the said ASSIGNOR might or could have held and enjoyed the same, if this assignment had not been made.

AND said ASSIGNOR hereby agrees to execute all papers necessary to file applications in the United States for said invention and to assign the same to said ASSIGNEE, or any assignee acquiring title to said invention, and to execute any other papers that may be needed in connection with filing said application and securing Letters Patent thereon.

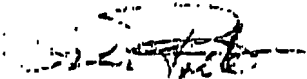
P: 0746, AS2

Page 2 of 3

AND said ASSIGNOR authorizes and requests the Commissioner of Patents to issue Letters Patent on any and all divisions and continuations thereof, to said ASSIGNEE, its assigns and legal representatives, in accordance herewith.

IN TESTIMONY WHEREOF, this assignment is executed by Joachim KETTLER, this 27 day of 05 2002, at Am Grueggelgraben 8, Postfach, 1863 D-59448 Werl, Germany

First Witness:



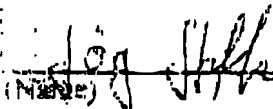
(Name)

27.05.2002

(Date)


Joachim KETTLER

Second Witness:



(Name)

27.05.2002

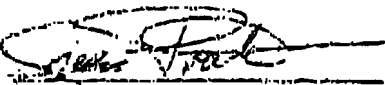
(Date)

P19246.AS2

Page 3 of 3

IN TESTIMONY WHEREOF, this assignment is executed by Reinhard ROCKOLI,
this 27 day of 05, 2002, at Am Grueggelgraben 8, Postfach 1863,
D-59448, Werl, Germany

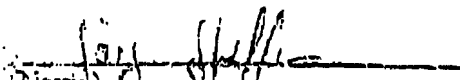
First Witness:


(Name)

27.05.2002
(Date)


Reinhard ROCKOLI.

Second Witness:


(Name)

27.05.2002
(Date)

F450-06.A12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : H. KETTLER

U.S. Patent No.: 6,378,834

Applo. No : 09/584,497

Issued: April 30, 2002

Filed : June 1, 2000

For : VEHICLE STEERING HEAD

ASSIGNEE'S CONSENT TO CORRECTION OF INVENTORSHIP

Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

The undersigned, who is empowered to act on behalf of the actual assignee of the above-referenced patent, represents that the assignee, Heinz Kettler GmbH & Co., is the owner of the entire right, title and interest, as evidenced by an assignment having a Recordation Date of June 1, 2000, at Reel 010858, Frame 0316, and hereby consents to have the inventorship of the above-referenced patent corrected by adding, as inventors, Reinhard ROCHOLL, a citizen of Germany, whose post office address is Am Grueggelgraben 8, Postfach 1863, D-59448 Werl, Germany, and Joachim KETTLER, a citizen of Germany, whose post office address is Am Grueggelgraben 8, Postfach 1863, D-59448 Werl, Germany.

130281 A12

Respectfully submitted,
Heinz Kettler GmbH & Co.

Date 17 Jun 2002Name Manfred SalierTitle Managing DirectorX Signature [Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : H. KETTLER

U.S. Patent No.: 6,378,884

Appln. No : 09/584,497

Issued: April 30, 2002

Filed : June 1, 2000

For : VEHICLE STEERING HEAD

Commissioner of Patents and Trademarks
Washington, D.C. 20231**STELLUNGNAHME VON REINHARD
ROCHOLL ZUR UNTERSTÜTZUNG
EINES ANTRAGS AUF ÄNDERUNG DER
ERFINDERNENNUNG NACH 37 C.F.R.
1.324(a)****STATEMENT OF REINHARD
ROCHOLL IN SUPPORT OF PETITION
TO CHANGE INVENTORSHIP UNDER
37 C.F.R. 1.324(a)**

Ich, Reinhard ROCHOLL, als Unterzeichnete und deutscher Staatsbürger, stelle folgendes zur Unterstützung eines Antrags nach 37 C.F. R. 1.324(a) fest:

I, the undersigned, Reinhard ROCHOLL, a citizen of Germany, state the following in support of a Petition under 37 C.F.R. 1.324(a):

1. Ich bin einer der Miterfinder des Gegenstandes, der in der oben erwähnten Anmeldung Nr. 09/584,497 beansprucht wurde, die U.S. Patent Nr. 6,378,884 wurde.
2. Der Fehler bei der Erfindernennung, d.h. das Versäumnis, mich als Miterfinder in der oben erwähnten Anmeldung aufzunehmen, die später ein Patent wurde, ist ohne betrügerische Absichten meinerseits gemacht worden. Insbesondere habe ich nicht verstanden, daß nach U.S.-Patentrecht alle Erfinder des in einer Anmeldung beanspruchten Gegenstandes in einer Patentanmeldung für das U.S.-Patent- und Markenamt zu nennen sind.

1. That I am one of the co-inventors of the subject matter claimed in the above-identified Application No. 09/584,497, which matured into U.S. Patent No. 6,378,884.
2. That the inventorship error, i.e., the failure to have me included as a co-inventor in the above-identified application which matured into a patent, occurred without any deceptive intention on my part. More particularly, I did not appreciate the fact that under U.S. patent laws, all inventors of subject matter claimed in a patent application must be named in an application for patent in the U.S. Patent and Trademark Office.

3. Als Leiter der Forschungsabteilung bei WKW Werler Kunststoff-Werke GmbH & Co., einer unabhängigen hundertprozentigen Tochtergesellschaft von Heinz Kettler GmbH & Co., war ich für die Entwicklung der Erfindung entsprechend dem oben erwähnten Patent verantwortlich. Entsprechend habe ich zu der Entwicklung der Erfindung wie folgt beigetragen:

- a. Ich nahm an Sitzungen mit Joachim KETTLER und Heinz KETTLER teil, bei den wir den Fortschritt bei der Entwicklung der Erfindung sowie notwendige Abwandlungen besprachen. Heinz KETTLER hat bestimmte Probleme bei der von uns studierten Vorrichtung festgestellt.
- b. Zusammen mit Joachim KETTLER, haben wir Zeichnungen gemacht, die die Grundlage der Erfindung bildeten.
- c. Unter meiner Leitung sowie der von Joachim KETTLER haben Techniker aus unserer Abteilung Zeichnungen nach unseren Skizzen angefertigt sowie einen Prototyp der Vorrichtung gebaut.
- d. Nach der Vervollständigung eines Prototyps der Vorrichtung, traf ich mit Joachim KETTLER und Heinz KETTLER zusammen, um die Vorteile der Vorrichtung zu besprechen. Es wurde entschieden, Patentschutz für die Vorrichtung entsprechend der Erfindung weiterzuverfolgen.

3. That as head of the Research Department at WKW Werler Kunststoff-Werke GmbH & Co., an independent wholly owned subsidiary of Heinz Kettler GmbH & Co., I had responsibility for the development of the invention covered by the above-identified application and as such, I contributed in the following manner to the development of the invention:

- a. I attended meetings with Joachim KETTLER and Heinz KETTLER, at which time we discussed progress on the development of the invention and necessary modifications. Heinz Kettler identified certain problems with the apparatus we were studying.
- b. Jointly with Joachim KETTLER, we drew sketches of the apparatus which formed the basis of the invention.
- c. Under my direction and that of Joachim KETTLER, technicians in our department prepared drawings from our sketches and built a prototype of the apparatus.
- d. Upon completion of the prototype, I met with Joachim KETTLER and Heinz Kettler to discuss the advantages of the apparatus and a decision was made to pursue patent protection for the apparatus according to the invention.

P19246.A10DEC.doc

- 3 -

e. Mir war zwar bewußt, daß eine Patentanmeldung in den USA eingereicht werden sollte. Ich habe aber nicht verstanden, daß ich als erfinderischer Mitwirkende bei der beanspruchten Erfindung nach U.S. Patentrecht als Miterfinder genannt werden mußte.

e. I was aware that a patent application was to be filed in the United States, but did not appreciate the fact that, as an inventive contributor to the invention claimed, U.S. patent laws required that I be named as a co-inventor.

6.06.02

Datum


Reinhard ROCHOLL6.06.02

Date


Reinhard ROCHOLL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : H. KETTLER

U.S. Patent No.: 6,378,884

Appln. No : 09/584,497

Issued: April 30, 2002

Filed : June 1, 2000

For : VEHICLE STEERING HEAD

Commissioner of Patents and Trademarks
Washington, D.C. 20231**STELLUNGNAHME VON JOACHIM
KETTLER ZUR UNTERSTÜTZUNG
EINES ANTRAGS AUF ÄNDERUNG DER
ERFINDERNENNUNG NACH 37 C.F.R.
1.324(a)**

Ich, Joachim Kettler, als Unterzeichnete und deutscher Staatsbürger, stelle folgendes zur Unterstützung eines Antrags nach 37 C.F. R. 1.324(a) fest:

1. Ich bin einer der Miterfinder des Gegenstandes, der in der oben erwähnten Anmeldung Nr. 09/584,497 beansprucht wurde, die U.S. Patent Nr. 6,378,884 wurde.
2. Der Fehler bei der Erfindernennung, d.h. das Versäumnis, mich als Miterfinder in der oben erwähnten Anmeldung aufzunehmen, die später ein Patent wurde, ist ohne betrügerische Absichten meinerseits gemacht worden. Insbesondere habe ich nicht verstanden, daß nach U.S.-Patentrecht alle Erfinder des in einer Anmeldung beanspruchten Gegenstandes in einer Patentanmeldung für das U.S.-Patent- und Markenamt zu nennen sind.

**STATEMENT OF JOACHIM KETTLER IN
SUPPORT OF PETITION TO CHANGE
INVENTORSHIP UNDER 37 C.F.R. 1.324(a)**

I, the undersigned, Joachim KETTLER, a citizen of Germany, state the following in support of a Petition under 37 C.F.R. 1.324(a):

1. That I am one of the co-inventors of the subject matter claimed in the above-identified Application No. 09/584,497, which matured into U.S. Patent No. 6,378,884.
2. That the inventorship error, i.e., the failure to have me included as a co-inventor in the above-identified application which matured into a patent, occurred without any deceptive intention on my part. More particularly, I did not appreciate the fact that under U.S. patent laws, all inventors of subject matter claimed in a patent application must be named in an application for patent in the U.S. Patent and Trademark Office.

3. Als Mitglied der Forschungsabteilung bei WKW Werler Kunststoff-Werke GmbH & Co., einer unabhängigen hundertprozentigen Tochtergesellschaft von Heinz Kettler GmbH & Co., habe ich eng mit Reinhard ROCHOLL als Mitglied einer Arbeitsgemeinschaft zusammengearbeitet, die für die Entwicklung der Erfindung entsprechend dem oben erwähnten Patent verantwortlich war. Entsprechend habe ich zu der Entwicklung der Erfindung wie folgt beigetragen:
- a. Ich nahm an Sitzungen mit Reinhard ROCHOLL und Heinz KETTLER teil, bei den wir den Fortschritt bei der Entwicklung der Erfindung sowie notwendige Abwandlungen besprachen. Heinz KETTLER hat bestimmte Probleme bei der von uns studierten Vorrichtung festgestellt.
 - b. Zusammen mit Reinhard ROCHOLL haben wir Zeichnungen der Vorrichtung gemacht, die die Grundlage für die Erfindung bildeten.
 - c. Unter meiner Leitung sowie der von Reinhard ROCHOLL haben Techniker aus unserer Abteilung Zeichnungen nach unseren Skizzen angefertigt sowie einen Prototyp der Vorrichtung gebaut.
 - d. Nach der Vervollständigung eines Prototyps der Vorrichtung, traf ich mit Reinhard ROCHOLL und Heinz KETTLER zusammen, um die Vorteile der Vorrichtung zu besprechen. Es wurde entschieden, Patentschutz für die Vorrichtung entsprechend der Erfindung weiterzuverfolgen.
3. That as a member of the Research Department at WKW Werler Kunststoff-Werke GmbH & Co., an independent wholly owned subsidiary of Heinz Kettler GmbH & Co., I worked closely with Reinhard ROCHOLL, as part of a team having responsibility for the development of the invention covered by the above-identified patent and as such, I contributed in the following manner to the development of the invention:
- a. I attended meetings with Reinhard ROCHOLL and Heinz KETTLER, at which time we discussed progress on the development of the invention and necessary modifications. Heinz Kettler identified certain problems with the apparatus we were studying.
 - b. Jointly with Reinhard ROCHOLL, we drew sketches of the apparatus which formed the basis of the invention.
 - c. Under my direction and that of Reinhard ROCHOLL, technicians in our department prepared drawings from our sketches and built a prototype of the apparatus.
 - d. Upon completion of the prototype, I met with Reinhard ROCHOLL and Heinz Kettler to discuss the advantages of the apparatus and a decision was made to pursue patent protection for the apparatus according to the invention.



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K(703-716-1180)ETTLER

FAX NR. +49 2922 80 NO. 6745 P. 34

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K(703-716-1180)ETTLER INTL INC

71 27-0183

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- 3 -

e. Mir war zwar bewußt, daß eine Patentanmeldung in den USA eingereicht werden sollte. Ich habe aber nicht verstanden, daß ich als erfinderischer Mitwirkende bei der beanspruchten Erfindung nach U.S. Patentrecht als Miterfinder genannt werden mußte.

e. I was aware that a patent application was to be filed in the United States, but did not appreciate the fact that, as an inventive contributor to the invention claimed, U.S. patent laws required that I be named as a co-inventor.

06.06.2002
Datum

Joachim Kettler
Joachim KETTLER

06.06.2002
Date

Joachim Kettler
Joachim KETTLER

RECEIVED

JUN 06 2002

GREENBLUM & BERNSTEIN PLC

6-JUN-02 00 16:59

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S. 5

FORM PTO-1595
P19246.P06RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of Conveying party(ies):

KETTLER, Joachim
ROCHOLL, ReinhardAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Heinz Kettler GmbH & Co.

Internal Address: _____

Street Address: Hauptstrasse 28, D-59469 Ense-Parsit, Germany

City: _____ State: _____ ZIP: _____

Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: May 27, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

6,378,884

Additional numbers attached: ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Neil F. GreenblumInternal Address: P19246Street Address: GREENBLUM & BERNSTEIN, P.L.C.1941 Roland Clarke PlaceCity: Reston State: VA ZIP: 201916. Total number of applications and patents involved: 17. Total Fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

19-0089

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Neil F. Greenblum, Reg. No. 28,394

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 4Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

919246.AS2

Page 1 of 3

ASSIGNMENT

WHEREAS, Joachim KETTLER, a citizen of Germany, whose post office address is Am Grueggelgraben 8, Postfach 1863, D-59448 Werl, Germany, and Richard ROCHOLL, a citizen of Germany, whose post office address is Am Grueggelgraben 8, Postfach 1863, D-59448 Werl, Germany, hereinafter referred to as the ASSIGNOR, has invented a certain improvement relating to

VEHICLE STEERING HEAD,

which issued on April 30, 2002, as U.S. Patent No. 6,378,884

AND WHEREAS, Heinz Kettler GmbH & Co., a corporation organized and existing under the laws of Germany, whose post-office address is Hauptstrasse 28, D-59469 Ense-Parsit, Germany, hereinafter known as the ASSIGNEE, is desirous of acquiring the entire right, title and interest for the United States, in and to said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues, and prolongations thereof.

NOW, THIS WITNESSETH that for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt whereof is hereby acknowledged, said ASSIGNOR hereby assigns, sells and transfers to said ASSIGNEE, its assigns and legal representatives, the entire and exclusive right, title and interest in and to said invention and application, for the United States, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted therefor, said ASSIGNEE and its assigns and legal representatives to have, hold, exercise and enjoy the said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues and prolongations thereof, with all the rights, powers, privileges, and advantages in any ways arising from or pertaining thereto, for and during the term or terms of any and all such Letters Patent when granted, including any and all renewals, reissues, and prolongations thereof, for the use and benefit of said ASSIGNEE and its assigns and legal representatives in as ample and beneficial a manner to all intents and purposes as the said ASSIGNOR might or could have held and enjoyed the same, if this assignment had not been made

AND said ASSIGNOR hereby agrees to execute all papers necessary to file applications in the United States for said invention and to assign the same to said ASSIGNEE, or any assignee acquiring title to said invention, and to execute any other papers that may be needed in connection with filing said application and securing Letters Patent thereon.

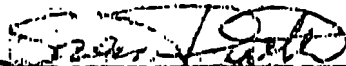
F19246.A52

Page 2 of 3

AND said ASSIGNOR authorizes and requests the Commissioner of Patents to issue Letters Patent on any and all divisions and continuations thereof, to said ASSIGNEE, its assigns and legal representatives, in accordance herewith.

IN TESTIMONY WHEREOF, this assignment is executed by Joachim KETTLER, this 27 day of 05 2002, at Am Grueggelgraben 8, Postfach, 1863, D-59448 Werl, Germany

First Witness:



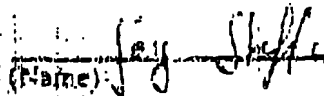
(Name)

27.05.2002

(Date)


Joachim KETTLER

Second Witness:



(Name)

27.05.2002

(Date)

P 0246 AS2

Page 3 of 3

IN TESTIMONY WHEREOF, this assignment is executed by Reinhard ROCHOLL,
this 25 day of 05 2002, at Am Grueggelgraben 5, Postfach 1863,
D-59448, Werl, Germany

First Witness:

[Signature]
(Name)

27.05.2002
(Date)

[Signature]
Reinhard ROCHOLL

Second Witness:

[Signature]
(Name)

27.05.2002
(Date)

GREENBLUM & BERNSTEIN, P.L.C.**41676**

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